

01-24-02

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JC658 U.S. PTO
01/22/02

CONTINUING PATENT APPLICATION TRANSMITTAL
(for Continuing Applications under 37 C.F.R. §1.53(b))

Attorney Docket No. 14448-00017

First Named Inventor or
Application Identifier: Lester F. Lau

JC658 U.S. PTO
10/053753
01/22/02

Box PATENT APPLICATION
Commissioner of Patents and Trademarks
ATTENTION: Assistant Commissioner for
Patents
Washington, D.C. 20231

Sir:

This is a request under 37 C.F.R. §1.53(b) for
filing a:

- (X) Continuation application,
- () Divisional application,
- () Continuation-in-Part application,

) CERTIFICATE OF MAILING BY "EXPRESS MAIL"
) "Express Mail" Mailing Label Number
) EL 928527220 US
) Date of Deposit January 22, 2002
) I hereby certify that this paper or fee is being deposited
) with the United States Postal Service "Express Mail Post
) Office to Addressee" Service under 37 CFR §1.10 on the
) date indicated above and is addressed to the
) Commissioner of Patents and Trademarks, Attention:
) Assistant Commissioner for Patents, Washington, D.C.
) 20231
) Theresa A. Barnstable
) (Typed or printed name of person mailing)
) *Theresa A. Barnstable*
) (Signature of person mailing)

1. (X) This is a **continuation** or divisional application. Enclosed is a copy of the prior application as originally filed, including specification, claims, drawings, and oath or declaration.

- or -

- () Enclosed is a patent application (for continuation, divisional, or continuation-in-part applications) containing:

_____ pages of the specification (including claims).
_____ sheets of drawings () Formal () Informal.

2. (X) Amend the specification by inserting before the first line the sentence: -This is a [x] continuation, [] division, [] continuation-in-part, or prior application number 09/142,569, filed April 2, 1999, which is the U.S. National Phase filing (371) of PCT/US97/04193, filed March 14, 1997, and claims priority of U.S. Provisional Application No. 60/013,958, filed March 15, 1999 which is hereby incorporated herewith by reference in its entirety.- The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under paragraph 3 below, is considered as being part of the disclosure of the accompanying application, and is hereby incorporated by reference therein.

3. (X) A copy of the executed oath or declaration filed in the prior nonprovisional application is enclosed.

10053753-012202

CONTINUING PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 14448-00017

4. **Inventorship:**

- ☐ A newly-executed oath or declaration and power of attorney is enclosed (*for continuation-in-part applications, or for continuation or divisional applications naming an inventor not named in the prior application*) (§1.63(a), (d)(5), and (e)).
- ☐ Because this application is being filed by fewer than all of the inventors named in the prior application, delete the following inventor(s) named in the prior nonprovisional application (37 C.F.R. §1.63(d)(1)(2)):

- ☐ The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted oath or declaration and power of attorney (§1.41(a) and §1.53(b)).

5. ☐ Assignment(s) of the invention to _____, and cover sheet are enclosed.

- ☐ A check in the amount of \$_____ to cover the fee for recording the assignment(s) is enclosed.

6. ☒ The prior application is assigned of record to

Munin Corporation

Reel 010250 and Frame 0049

7. **Small Entity Status (37 C.F.R. §1.28(a)(2)):**

- ☒ A statement of status as a small entity was filed in the prior application, and small entity status is still proper and desired in this new nonprovisional application.
- ☐ Status as a small entity is *no* longer claimed.

8. ☐ A 37 C.F.R. §3.73(b) statement is enclosed (*where an assignee seeks to take action in a matter before the Patent Office*).

9. ☒ A preliminary amendment is enclosed.

10. **Drawings**

- ☐ Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file. (*May be used only if signed by person authorized by §1.138 and before payment of base issue fee.*)
- ☐ New formal drawings are enclosed.
- ☒ Informal drawings are enclosed.

CONTINUING PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 14448-00017

11. A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed.
12. ☐ An Information Disclosure Statement is enclosed.
- ☐ A Form PTO-1449 is enclosed.
- ☐ References (copies) listed on the Form PTO-1449 are enclosed.
13. ☐ A MicroFiche Computer Program (Appendix) is enclosed.
14. ☒ A Return Receipt Postcard is enclosed (MPEP §503).
15. ☒ A Nucleotide and/or Amino Acid Sequence Submission is enclosed.
- ☒ A Computer Readable Copy is enclosed.
- ☒ A Paper Copy (*identical to computer copy*) is enclosed.
- ☒ A Statement Verifying Identity of above copies is enclosed.
16. ☐ Priority of application number / filed on in is claimed under 35 U.S.C. §119.
- ☐ The certified copy of the priority document has been filed in prior application number / , filed .
- ☐ A certified copy of the priority document is enclosed.

CONTINUING PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 14448-00017

17. Power of Attorney

(x) The Power of Attorney in the prior application is to:

(x) David W. Clough, Ph.D. Reg. No. 36,107
 KATTEN MUCHIN ZAVIS
 525 West Monroe Street, Suite 1600
 Chicago, Illinois 60661-3693
 and other members of the firm.

(X) Customer Number 27160.

(x) The power appears in the original papers in the prior application.

() Since the power does **not** appear in the original papers in the prior application, a copy of the power in the prior application is enclosed.

18. (x) Cancel in this application original claims 2-64 of the prior application before calculating the filing fee. *(At least one original independent claim must be retained for filing purposes.)*

19. Filing Fee Calculation (37 C.F.R. §1.16)

(x) Utility Application

CLAIMS AS FILED – INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		LARGE ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$370.00		\$740.00
TOTAL (No. of claims – 20=)	1		X \$9.00	-	X \$18.00	
INDEP. (No. of claims – 3=)	1		X \$42.00	-	X \$84.00	
Assumed no multiple dependent claims – <input type="checkbox"/> First Presentation of Multiple Dependent Claim			+ \$140.00	-	+ \$280.00	
Filing Fee =			\$	370.00	\$	

() Design Application (\$165.00/\$330.00)
 () Plant Application (\$255.00/\$510.00)

Filing Fee: \$ _____
 Filing Fee: \$ _____

CONTINUING PATENT APPLICATION TRANSMITTAL

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20. **Other Fees**

- () Recording Assignment [Fee – \$40.00 per assignment] \$ _____
- () Petition fee for filing by other than all the inventors or person on behalf of the inventor where refused to sign or cannot be reached [Fee – \$130.00] \$ _____
- () Other – _____ \$ _____
- Total Fees Enclosed** \$ _____

21. **Method of Payment of Fees**

- (x) Enclosed is our firm check in the amount of: \$ 370.00
- () Charge \$ _____ to Deposit Account No. 50-1214.

22. (x) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 50-1214. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1214. This sheet is filed in duplicate.

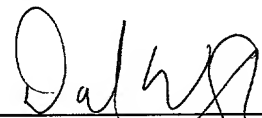
Please direct all future communications to:

KATTEN MUCHIN ZAVIS
Attention: Patent Administrator
525 West Monroe Street, Suite 1600
Chicago, Illinois 60661-3693
(312) 902-5200

Respectfully Submitted,

January 22, 2002
(Date)

By:


David W. Clough, Ph.D.
Registration No. 36,107

KATTEN MUCHIN ZAVIS
525 West Monroe Street, Suite 1600
Chicago, Illinois 60661-3693
(Direct) Phone No. (312) 902-5464
(Direct) Fax No. (312) 577-8736

Commissioner of Patents and Trademarks
ATTENTION: Assistant Commissioner
for Patents
Washington, D.C. 20231

Applicant(s): Lester F. LAU

Application No.:

Filed: HEREWITH

Title: EXTRACELLULAR MATRIX SIGNALLING
MOLECULES

Group Art Unit:

Examiner:

) CERTIFICATE OF MAILING BY "EXPRESS MAIL"

) "Express Mail" Mailing Label Number

) EL 928527220 US

) Date of Deposit January 22, 2002

) I hereby certify that this paper or fee is being
deposited with the United States Postal Service
"Express Mail Post Office to Addressee" Service
under 37 CFR §1.10 on the date indicated above and
is addressed to the Commissioner of Patents and
Trademarks, Washington, D.C. 20231.

) Theresa A. Barnstable

) (Typed or printed name of person mailing)

) 
(Signature of person mailing)

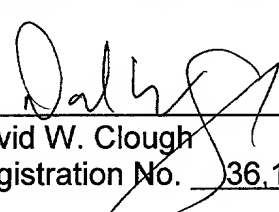
**GENERAL AUTHORIZATION FOR PETITION FOR
EXTENSION OF TIME UNDER 37 C.F.R. §1.136(a)(3)**

Applicant(s) hereby request under 37 C.F.R. §1.136(a)(3) by this general authorization that any concurrent or future reply submitted by Applicant(s) to the U.S. Patent and Trademark Office for the above-identified patent application requiring a petition for an extension of time under §1.136(a) for its timely submission be treated as incorporating therein a petition for an extension of time for the appropriate length of time.

If Applicant(s) do not timely pay for any extension fee(s) pursuant to 37 C.F.R. §1.136(a) which may become due for this application under 37 C.F.R. §1.17 by check, the Commissioner is hereby authorized to charge such fee(s), and any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 50-1214.

January 22, 2002

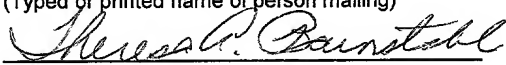
(Date)


David W. Clough

Registration No. 36,107

KATTEN MUCHIN ZAVIS
525 West Monroe Street, Suite 1600
Chicago, Illinois 60603-3693
Telephone: (312) 902-5464
Facsimile: (312) 577-8736

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Application of: LAU, Lester F.)	<u>CERTIFICATE OF MAILING BY "EXPRESS MAIL"</u>
)	"Express Mail" Mailing Label Number
Continuation of:)	<u>EL928527220 US</u>
)	
Serial No.: 09/142,569)	Date of Deposit <u>January 22, 2002</u>
)	
Filed: April 2, 1999)	I hereby certify that this paper or fee is being deposited
)	with the United States Postal Service "Express Mail
)	Post Office to Addressee" Service under 37 CFR §1.10
For: EXTRACELLULAR MATRIX)	on the date indicated above and is addressed to the
SIGNALLING MOLECULES)	Commissioner of Patents and Trademarks, Attention:
)	Assistant Commissioner for Patents, Washington, D.C.
)	20231
)	
Group Art Unit: 1632)	<u>Theresa A. Barnstable</u>
)	(Typed or printed name of person mailing)
Examiner: J. T. Voitach)	
)	(Signature of person mailing)

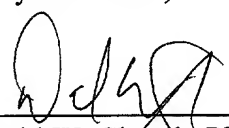
STATEMENT PURSUANT TO 37 C.F.R. § 1.821(g)

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby state that the content of the paper copy of the Sequence Listing, and the computer readable copy of the Sequence Listing, submitted herewith in accordance with 37 C.F.R. §§1.821-1.825, are the same and include no new matter.

Respectfully submitted,

By: 
David W. Clough, Ph.D.
Registration No. 36,107
Attorney for Applicants

January 22, 2002
KATTEN MUCHIN ZAVIS
525 West Monroe Street, Suite 1600
Chicago, Illinois 60661-3693
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